

Title 34: Secretary of State

Chapter 1: Organization of the Secretary of State's Office

Rule 1.1 Repeal of Prior Rules. Upon their effective date, these rules and regulations supersede and repeal all previous rules and regulations promulgated under the Administrative Procedures Act and adopted as Title 01 – Administrative Law and Secretary of State Part III Secretary of State.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 1.2 Chapter Content. In accordance with Section 25-43-2.104(a) of the Mississippi Administrative Procedures Act, this chapter describes the Secretary of State's duties and responsibilities, the organization of the Secretary of State's Office, its methods of operation, and how the public can contact the agency to make submissions or requests.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 1.3 Secretary of State Duties. The Secretary of State is an officer of the executive branch of state government elected by the people pursuant to Article 5, Section 133 of the Mississippi Constitution. The Secretary of State is the keeper of the Capitol, registrar of all official acts of the Governor and performs other duties as required by various statutes. There are specific statutory duties in the following general areas: elections, public lands, business, charities, securities, and publications.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 1.4 Secretary of State's Office Organization. The Secretary of State's Office consists of Assistant Secretaries of State and other staff who assist the Secretary in carrying out the responsibilities of the Office. The Secretary of State's Office is divided into the following operating divisions:

- A. Administration
 - 1. Personnel
 - 2. Finance
 - 3. Procurement
 - 4. Information Technology
- B. Business Services
 - 1. Corporate Filings and Customer Service
 - a. Business Entity Formation
 - b. Uniform Commercial Code Filing
 - c. Notary Commissions
 - d. Customer Service
 - e. Trademarks
 - 2. Policy and Research
 - 3. Regulation and Enforcement
 - a. Administrative Procedures Act Regulation

- b. Pre-need Funeral Service and Merchandise Registration Act Regulation
 - c. Scrap Metal Dealer Regulation
 - d. Perpetual Care Cemeteries Regulation
 - 4. Securities and Charities
 - a. Securities Regulation
 - b. Charities Regulation
- C. Education and Publications
 - 1. Education and Marketing
 - 2. Publications
- D. Elections
 - 1. Election Administration
 - a. Campaign Finance
 - b. Lobbying
 - c. Elections Compliance
 - 1. Candidate Qualification
 - 2. Certified Results
 - 2. HAVA Compliance
 - a. Statewide Elections Management
 - b. Voting Systems
- E. Public Lands
 - 1. Agency Lands
 - 2. Tax Forfeited Lands
 - 3. Sixteenth Section Lands
 - 4. Public Trust Tidelands

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 1.5 Delegation of Authority. Authority to act on behalf of the Secretary of State is delegated to the following positions:

- A. Chief of Staff serves as the Deputy Secretary of State and is delegated authority to act for the Secretary on all matters.
- B. Assistant Secretaries of State are delegated authority to act for the Secretary of State on all matters within their assigned area of responsibility.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 1.6 Contact Information. A current listing of contact information for The Secretary of State's Office may be found at www.sos.ms.gov or obtained by calling (601) 359-1350.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Chapter 2: Rulemaking Oral Proceedings

Rule 2.1 Application of Chapter. This chapter applies to all oral proceedings held for the purpose of providing the public an opportunity to make oral presentations or written input on

proposed new rules, amendments to rules, and proposed repeal of existing rules before the Secretary of State's Office pursuant to the Administrative Procedures Act.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 2.2 Request for Oral Proceeding. When a political subdivision, an agency, or a citizen requests an oral proceeding in regards to a proposed rule adoption, the requestor must submit a printed, typewritten, or legibly handwritten request. Pursuant to Miss. Code Ann. Section 25-43-3.104, when an oral proceeding has not been scheduled by the Secretary of State's Office, an oral proceeding will be scheduled when a written request is submitted by a political subdivision, an agency, or ten (10) or more persons.

- A. Each request must be submitted on 8-1/2" x 11" white paper.
- B. The request may be in the form of a letter addressed to the Secretary of State or in the form of a pleading as if filed with a court.
- C. Each request must include the full name, telephone numbers, and mailing address of the requestor(s).
- D. All requests shall be signed by the person filing the request, unless represented by an attorney, in which case the attorney may sign the request.

Source: *Miss. Code Ann.* § 25-43-2.104 and -3.104 (Rev. 2006).

Rule 2.3 Notice of Oral Proceeding. Notice of the date, time, and place of all oral proceedings shall be filed with the Secretary of State's Office, Regulation and Enforcement Division for publication in the Administrative Bulletin. The Secretary of State shall provide notice of oral proceedings to each requestor. The oral proceedings will be scheduled no earlier than twenty (20) days from the filing of the notice with the Secretary of State. The Secretary of State, Assistant Secretary of State, or designee who is familiar with the substance of the proposed rule, shall preside at the oral proceeding on a proposed rule.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 2.4 Public Participation Guidelines. Public participation shall be permitted at oral proceedings in accordance with the following:

- A. At an oral proceeding on a proposed rule, persons may make statements and present documentary and physical submissions.
- B. Persons wishing to make oral presentations at such a proceeding shall notify the Secretary of State's Office at least three (3) business days prior to the proceeding and indicate the general subject of their presentations. The presiding officer in his or her discretion may allow individuals to participate that have not contacted the Secretary of State's Office prior to the proceeding.
- C. At the proceeding, those who participate shall indicate their names and addresses, identify any persons or organizations they may represent, and provide any other information relating to their participation deemed appropriate by the presiding officer.

- D. The presiding officer may place time limitations on individual presentations when necessary to assure the orderly and expeditious conduct of the oral proceeding. To encourage joint presentations and to avoid repetition, additional time may be provided for persons whose presentations represent the views of other individuals as well as their own views.
- E. Persons making presentations are encouraged to avoid restating matters that have already been submitted in writing. Written materials may, however, be submitted at the oral proceeding.
- F. Where time permits and to facilitate the exchange of information, the presiding officer may open the floor to questions or general discussion. The presiding officer may question participants and permit the questioning of participants by other participants about any matter relating to that rule-making proceeding, including any prior written submissions made by those participants in that proceeding. No participant shall be required to answer any question.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 2.5 Submissions and Records. Physical and Documentary Submissions presented by participants in an oral proceeding shall be submitted to the presiding officer. Such submissions become the property of the Secretary of State, part of the rulemaking record, and are subject to the Secretary of State's public records request procedure. The Secretary of State's Office may record oral proceedings by stenographic or electronic means.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Chapter 3: Declaratory Opinions

Rule 3.1 Application of Chapter. This chapter sets forth the Secretary of State's rules governing the form, content, and filing of requests for declaratory opinions, the procedural rights of persons in relation to the written requests, and the Secretary of State's procedures regarding the disposition of requests as required by Mississippi Code § 25-43-2.103.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.2 Scope of Declaratory Opinions. The Secretary of State will issue declaratory opinions regarding the applicability to specified facts of:

- A. a statute administered or enforceable by the Secretary of State,
- B. a rule promulgated by the Secretary of State, or
- C. an order issued by the Secretary of State.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.3 Scope of Declaratory Opinion Request. A request must be limited to a single transaction or occurrence.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.4 How to Submit Requests. When a person with substantial interest, as required by Section 25-43-2.103 of the Administrative Procedures Act, requests a declaratory opinion, the person must submit a printed, typewritten, or legibly handwritten request.

- A. Each request must be submitted on 8-1/2" x 11" white paper.
- B. The request may be in the form of a letter addressed to the Secretary of State or in the form of a pleading as if filed with a court. It must also specify to which division of the Secretary of State's Office the request is addressed.
- C. Each request must include the full name, telephone numbers, and mailing address of the requestor(s).
- D. All requests shall be signed by the person filing the request, unless represented by an attorney, in which case the attorney may sign the request.
- E. Each request must clearly state that it is a request for a declaratory opinion.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.5 Signature Attestation. Any party who signs the request shall attest that the request complies with the requirements set forth in these rules, including but not limited to a full, complete, and accurate statement of relevant facts and that there are no related proceedings pending before any agency, administrative, or judicial tribunal.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.6 Request Content Requirement. Each request must contain the following:

- A. A clear identification of the statute, rule, or order at issue;
- B. The question for the declaratory opinion;
- C. A clear and concise statement of all facts relevant to the question presented;
- D. The identity of all other known persons involved in or impacted by the facts giving rise to the request including their relationship to the facts, and their name, mailing address, and telephone number.
- E. A statement sufficient to show that the requestor has a substantial interest in the subject matter of the request.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.7 Reasons for Refusal of Declaratory Opinion Request. The Secretary of State may, for good cause, refuse to issue a declaratory opinion. The circumstances in which declaratory opinions will not be issued include, but are not necessarily limited to:

- A. The matter is outside the primary jurisdiction of the Secretary of State;
- B. Lack of clarity concerning the question presented;

- C. There is pending or anticipated litigation, administrative action or anticipated administrative action, or other adjudication which may either answer the question presented by the request or otherwise make an answer unnecessary;
- D. The statute, rule, or order on which a declaratory opinion is sought is clear and not in need of interpretation to answer the question presented by the request;
- E. The facts presented in the request are not sufficient to answer the question presented;
- F. The request fails to contain information required by these rules or the requestor failed to follow the procedure set forth in these rules;
- G. The request seeks to resolve issues which have become moot or are abstract or hypothetical such that the requestor is not substantially affected by the rule, statute, or order on which a declaratory opinion is sought;
- H. No controversy exists or is certain to arise which raises a question concerning the application of the statute, rule, or order;
- I. The question presented by the request concerns the legal validity of a statute, rule, or order;
- J. The request is not based upon facts calculated to aid in the planning of future conduct, but is, instead, based on past conduct in an effort to establish the effect of that conduct;
- K. No clear answer is determinable;
- L. The question presented by the request involves the application of a criminal statute or sets forth facts which may constitute a crime;
- M. The answer to the question presented would require the disclosure of information which is privileged or otherwise protected by law from disclosure;
- N. The question is currently the subject of an Attorney General's opinion request;
- O. The question has been answered by an Attorney General's opinion;
- P. One or more requestors have standing to seek an Attorney General's opinion on the proffered question;
- Q. A similar request is pending before this agency, or any other agency, or a proceeding is pending on the same subject matter before any agency, administrative or judicial tribunal, or where such an opinion would constitute the unauthorized practice of law; or
- R. The question involves eligibility for a license, permit, certificate or other approval by the Secretary of State or some other agency and there is a statutory or regulatory application process by which eligibility for said license, permit, or certificate or other approval may be determined.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.8 Agency Response. Within forty-five (45) days after the receipt of a request for a declaratory opinion which complies with the requirements of these rules, the Secretary of State shall, in writing:

- A. Issue an opinion declaring the applicability of the statute, rule, or order to the specified circumstances
- B. Agree to issue a declaratory opinion by a specified time but no later than ninety (90) days after receipt of the written request; or
- C. Decline to issue a declaratory opinion, stating the reasons for its action.

The forty-five (45) day period shall begin on the first business day on or after which the request is received by the Secretary of State.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

Rule 3.9 Availability of Declaratory Opinions and Requests for Opinions. Declaratory opinions and requests for declaratory opinions shall be available for public inspection and copying at the expense of the viewer during normal business hours. All declaratory opinions and requests shall be indexed by requestor name, subject, and date of issue. Declaratory opinions and requests which contain information which is confidential or exempt from disclosure under the Mississippi Public Records Act or other laws shall be exempt from this requirement and shall remain confidential.

Source: *Miss. Code Ann.* § 25-43-2.104 (Rev. 2006).

~~Part III - Secretary of State~~

~~Chapter 01 Organization of the Secretary of State's Office~~

~~Purpose of this Chapter~~

~~100 — In accordance with Section 25-43-2.102 of the Mississippi Administrative Procedures Law, this chapter describes the Secretary of State's duties and responsibilities, the organization of the Secretary of State's Office, its methods of operation, and how the public can contact the agency to make submissions or requests.~~

~~Secretary of State~~

~~101 — The Secretary of State is an officer of the executive branch of state government elected by the people pursuant to Article 5, Section 133 of the Mississippi Constitution. The Secretary of State is the keeper of the capitol, registrar of all official acts of the Governor and performs other duties as required by various statutes. There are specific statutory duties in the following general areas: elections, public lands, business, charities, and publications.~~

~~Secretary of State's Office~~

~~102 — The Secretary of State's Office consists of Assistant Secretaries of State and other staff who assist the Secretary in carrying out the responsibilities of the office. The Secretary of State's Office is divided into the following operating divisions:~~

- ~~1. Administration~~
 - ~~_____ a. Personnel~~
 - ~~_____ b. Finance~~
 - ~~_____ c. Procurement~~
- ~~2. Elections~~
 - ~~_____ a. Elections~~
 - ~~_____ b. Campaign Finance~~
 - ~~_____ c. Lobbying~~
- ~~3. Public Lands~~
 - ~~_____ a. Agency Lands~~
 - ~~_____ b. Tax Forfeited Lands~~
 - ~~_____ c. Sixteen Section School Lands~~
 - ~~_____ d. Public Trust Tidelands~~
- ~~4. Business Regulation and Enforcement~~
 - ~~_____ a. Securities Regulation~~
 - ~~_____ b. Charities Registration~~
 - ~~_____ c. Pre-need Funeral Contracts Registration~~
- ~~5. Business Services~~

- ~~_____ a. Corporations and Other Business Entity Formation~~
- ~~_____ b. Uniform Commercial Code Filing~~
- ~~_____ c. Notary Commissions~~
- ~~_____ d. Customer Service~~
- ~~_____ e. Administrative Rules~~
- ~~6. Education and Publications~~
 - ~~_____ a. Education and Training~~
 - ~~_____ b. Publications~~

Delegation of Authority

- ~~103 — Authority to act on behalf of the Secretary of State is delegated to the following positions:~~
- ~~1. Chief of Staff serves as the principal deputy to the Secretary of State and is delegated authority to act for the Secretary on all matters.~~
 - ~~2. Assistant Secretaries of State are delegated authority to act for the Secretary of State on all matters within their assigned area of responsibility.~~
- ~~104 — Contacting the Secretary of State’s Office — The Secretary of State’s Office may be contacted in person, by U.S. Mail, Courier mail, e-mail, telephone, telefacsimile, and e-mail. The Secretary of State also maintains and provides interactive services on the Internet at www.sos.state.ms.us.~~
- ~~1. Location of the Secretary of State’s Office — The Secretary of State maintains offices in Jackson, and Gulfport, Mississippi at the following locations:~~
 - ~~_____ a. Heber Ladner Building, 401 Mississippi Street, Jackson, Mississippi 39201. This location houses the Executive, Administrative, and Personnel offices and the Elections and Public Lands Divisions.~~
 - ~~b. Capitol Office, 400 High Street Room 105, Jackson, Mississippi 39201~~
 - ~~c. 700 North Street Office, 700 North Street, Jackson, Mississippi. 39202. This office houses the Finance, Information Technology, Business Services and the Business Regulation and Enforcement Divisions.~~
 - ~~d. Gulf Coast Office, Hatten Building 1400 24th Avenue, Gulfport < Mississippi 39501.~~
 - ~~_____ 2. The mailing addresses for the Secretary of State’s Office are:~~
 - ~~a. Post Office Box 136, Jackson, Mississippi 39205~~
 - ~~b. Post Office Box 97, Gulfport, Mississippi 39502~~
 - ~~3. Courier Mail should be delivered to the street addresses listed above. The 700 North Street is the primary mail distribution point; however, directing courier mail to the location housing a specific division will expedite delivery.~~
 - ~~4. The Secretary of State’s Office may be contacted by telephone as follows:~~
 - ~~a. Customer Service (601) 359-1633, (800) 256-3494, FAX (601) 359-1633~~
 - ~~b. Heber Ladner Building (601) 359-1650, FAX (228)576-2819~~
 - ~~c. Gulf Coast Office (228) 864-0254 FAX (228) 864-0235~~
 - ~~d. (Reserved)~~

5. The primary E-mail addresses for the Secretary of State's Office are:
- a. CustomerServices@sos.state.ms.us
 - b. Administrator@sos.state.ms.us
 - c. (Reserved)

Requesting Information

- 105 — Information may be requested by contacting the Secretary of State's Office in writing at the above address(s).
- 1. The requestor should specifically identify the information requested and include his or her name, address and telephone number.
 - 2. There are certain fees that may apply.

Rulemaking Oral Proceedings

- 106 — The Secretary of State's Office hereby adopts the Model Rule on Rulemaking Oral Proceedings published herein in Title 01, Part II, Chapter 03 of the Mississippi Administrative Code and incorporates the rule by reference.

Declaratory Opinions

- 107 — The Secretary of State's Office hereby adopts the Model Rule on Requests for Declaratory Opinions published herein in Title 01, Part II, Chapter 04 of the Mississippi Administrative Code and incorporates the rule by reference.

- 108 — Rules of Practice for Formal and Informal Proceedings (Reserved).

- 109 — Master Fee Schedule (Reserved)

Chapter 2 Elections

Chapter 3 Lobbying

Chapter 4 Campaign Finance

~~Chapter 5 Education and Publications~~

~~Chapter 6 Securities Registration and Enforcement~~

~~Chapter 7 Charities Registration and Enforcement~~

~~Chapter 8 Pre-Need Funeral Registration and Enforcement~~

~~Chapter 9 UCC Secured Transactions~~

~~Chapter 10 Corporations and Business Organizations~~

~~Chapter 11 Service of Process~~

~~Chapter 12 Athletic Agent Registration~~

~~Chapter 13 Correspondence School Registration~~

~~Chapter 14 Notaries Public~~

~~Chapter 15 Public Lands~~

~~Chapter 16 Sixteen Section Lands~~

~~Chapter 17 Tax Forfeited Lands~~

~~Chapter 18 Agency Lands~~

~~Chapter 19 Public Trust Tidelands~~

~~Chapter 20 Appendix of Forms~~